

# Anxiogenic properties of an inverse agonist selective for a3 subunit-containing GABAA receptors

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- 1 α3IA (6-(4-pyridyl)-5-(4-methoxyphenyl)-3-carbomethoxy-1-methyl-1*H*-pyridin-2-one) is a pyridone with higher binding and functional affinity and greater inverse agonist efficacy for GABAA receptors containing an  $\alpha$ 3 rather than an  $\alpha$ 1,  $\alpha$ 2 or  $\alpha$ 5 subunit. If doses are selected that minimise the occupancy at these latter subtypes, then the *in vivo* effects of α3IA are most probably mediated by the α3 subtype.
- 2 α3IA has good CNS penetration in rats and mice as measured using a [3H]Ro 15-1788 in vivo binding assay.
- 3 At doses in rats that produce relatively low levels of occupancy (12%) in the cerebellum (i.e. \( \alpha 1 \) containing receptors),  $\alpha$ 3IA (30 mg kg<sup>-1</sup> i.p.), like the nonselective partial inverse agonist N-methyl- $\beta$ carboline-3-carboxamide (FG 7142), not only caused behavioural disruption in an operant, chainpulling assay but was also anxiogenic in the elevated plus maze, an anxiogenic-like effect that could be blocked with the benzodiazepine antagonist Ro 15-1788 (flumazenil).
- 4 Neurochemically,  $\alpha 3IA$  (30 mg kg<sup>-1</sup> i.p.) as well as FG 7142 (15 mg kg<sup>-1</sup> i.p.) increased the concentration of the dopamine metabolite 3,4-dihydroxyphenylacetic acid in rat medial prefrontal cortex by 74 and 68%, respectively, relative to vehicle-treated animals, a response that mimicked that seen following immobilisation stress.
- 5 Taken together, these data demonstrate that an inverse agonist selective for GABAA receptors containing an  $\alpha 3$  subunit is anxiogenic, and suggest that since  $\alpha 3$ -containing GABA<sub>A</sub> receptors play a role in anxiety, then agonists selective for this subtype should be anxiolytic. British Journal of Pharmacology (2005) 144, 357–366. doi:10.1038/sj.bjp.0706056 Published online 10 January 2005

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Abbreviations:

α3IA, 6-(4-pyridyl)-5-(4-methoxyphenyl)-3-carbomethoxy-1-methyl-1*H*-pyridin-2-one; FG 7142, *N*-methyl-βcarboline-3-carboxamide; DMCM, methyl-6,7-dimethoxy-4-ethyl-β-carboline-3-carboxylate; DOPAC, 3,4-dihydroxyphenylacetic acid

### Introduction

The GABA<sub>A</sub> receptor is generally considered to be a pentamer comprising subunits of members of the GABAA receptor family ( $\alpha 1$ –6,  $\beta 1$ –3,  $\gamma 1$ –3,  $\delta$ ,  $\varepsilon$ ,  $\theta$  and  $\pi$ ), with the majority of native receptors containing two  $\alpha$ , two  $\beta$  and a single  $\gamma$  subunit (Sieghart & Sperk, 2002). In addition to the agonist (GABA) recognition site, the GABAA receptor also contains binding sites for a number of pharmacologically relevant substances such as neurosteroids, barbiturates, ethanol, convulsants, anaesthetics and benzodiazepines (Korpi et al., 2002). In light of the clinical use of benzodiazepines based upon their anxiolytic, sedative, myorelaxant, cognition impairing and anticonvulsant properties, the binding site for these compounds has been the focus of considerable attention.

Detailed analyses of recombinant GABAA receptors have established that the benzodiazepine binding site occurs at the interface of the  $\alpha$  and  $\gamma$  subunits (Sieghart & Sperk, 2002). Since the predominant  $\gamma$  subunit occurring in native GABA<sub>A</sub>

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receptors is the  $\gamma$ 2, then the benzodiazepine site pharmacology of GABA<sub>A</sub> receptors in the brain is dictated primarily by the  $\alpha$ subunit present (McKernan & Whiting, 1996). The influence of the  $\alpha$  subunit on benzodiazepine binding site pharmacology is best illustrated by the fact that GABAA receptors containing either an  $\alpha 4$  or  $\alpha 6$  subunit have essentially no affinity for classical benzodiazepines such as diazepam or lorazepam, a difference that can be solely attributed to the presence of an arginine residue in  $\alpha 4$  and  $\alpha 6$  subunits, which in  $\alpha 1$ ,  $\alpha 2$ ,  $\alpha 3$  and α5 subunits is histidine (Wieland et al., 1992). Thus, the benzodiazepine binding site is associated with GABAA receptors containing a  $\beta$  and  $\gamma$ 2 subunit in conjunction with either an  $\alpha 1$ ,  $\alpha 2$ ,  $\alpha 3$  or  $\alpha 5$  subunit, a receptor population accounting for roughly three-quarters of the total brain GABA<sub>A</sub> receptor population (McKernan & Whiting, 1996; Sieghart & Sperk, 2002).

The clinically used 'classical' benzodiazepines (e.g. diazepam, lorazepam, flunitrazepam, alprazolam) are nonselective full agonists in that they potentiate the effects of GABA at  $\alpha$ 1-,  $\alpha$ 2-,  $\alpha$ 3- and  $\alpha$ 5-containing GABA<sub>A</sub> receptors as a result of

is bound (Sieghart & Sperk, 2002). This causes an increased chloride ion flux into the cell, resulting in a hyperpolarisation of the resting membrane potential, the behavioural manifestations of which are anxiolysis, sedation, myorelaxation, cognitive impairment and anticonvulsant activity (Korpi et al., 2002). On the other hand, nonselective inverse agonists, such as N-methyl- $\beta$ -carboline-3-carboxamide (FG 7142) or methyl-6,7-dimethoxy-4-ethyl-β-carboline-3-carboxylate (DMCM), have the opposite effects in that they decrease the number of GABA-induced channel opening events, resulting in depolarisation and increased neuronal excitability. The opposite effects of benzodiazepine site agonists and inverse agonists are reflected at the behavioural level, since inverse agonists are anxiogenic, increase vigilance and are either convulsant in their own right or enhance the efficacy of a convulsant compound (i.e. are proconvulsant) (Haefely et al., 1993). Between the extremes of full agonism or full inverse agonism (e.g. DMCM) lie a spectrum of efficacies, which include partial agonists such as bretazenil or imidazenil, partial inverse agonists such as FG 7142 and antagonists, the prototypic example of which is Ro 15-1788 (flumazenil) (Haefely et al., 1993). With respect to Ro 15-1788, it is important to note that this compound has no effect on GABAinduced channel opening effects and therefore does not affect the resting membrane potential nor does it have marked effects in vivo (Haefely, 1988).

increasing the number of channel opening events when GABA

Recent molecular genetic approaches have begun to define which of the specific pharmacological features of benzodiazepines are associated with particular (i.e.  $\alpha 1$ -,  $\alpha 2$ -,  $\alpha 3$ - or  $\alpha 5$ containing) subtypes of GABAA receptor (Rudolph & Möhler, 2004). For example, mice in which the  $\alpha$ 1-containing GABA<sub>A</sub> receptors are rendered insensitive to diazepam are less sensitive to the sedative effects of diazepam, establishing the role of α1-containing GABA<sub>A</sub> receptors in mediating the sedative properties of nonselective benzodiazepines (Rudolph et al., 1999; Crestani et al., 2000a; McKernan et al., 2000). Pharmacological confirmation that α1-containing GABA<sub>A</sub> receptors play a key role in mediating the sedative properties of nonselective benzodiazepines comes from observations that the  $\alpha 1$  binding selective imidazopyridine zolpidem is hypnotic (Crestani et al., 2000a), whereas a compound lacking α1 efficacy, L-838417, has a much reduced sedation liability (McKernan et al., 2000).

While GABA<sub>A</sub> receptors containing an  $\alpha 1$  subunit are associated with sedation and anticonvulsant activity and those containing  $\alpha 5$  are associated with certain cognitive processes (Rudolph *et al.*, 1999; Collinson *et al.*, 2002; Crestani *et al.*, 2002), the role of  $\alpha 3$ -containing GABA<sub>A</sub> receptors is less well defined. Thus, the  $\alpha 3$  subtype does not appear to be associated with diazepam-induced changes in either sleep architecture (Kopp *et al.*, 2003), motor performance, sedation or anticonvulsant activity (Löw *et al.*, 2000). Moreover, although a comparison of transgenic mice containing diazepam-insensitive  $\alpha 2$  or  $\alpha 3$  GABA<sub>A</sub> populations suggests that the  $\alpha 3$  subtype does not mediate the anxiolytic effects of diazepam, whereas  $\alpha 2$  does (Löw *et al.*, 2000), the interpretation of these behavioural data is confounded by methodological issues (Crestani *et al.*, 2000b; Reynolds *et al.*, 2001).

Clearly, it would be useful to resolve the relative anxiolytic contributions of the  $\alpha 2$  and  $\alpha 3$  subtypes pharmacologically, but as yet there are no compounds reported to have subtype

selective agonism for  $\alpha 2$ - versus  $\alpha 3$ - or  $\alpha 3$ - versus  $\alpha 2$ -containing GABA<sub>A</sub> receptors (Cooke & Hamilton, 2002). However, in the present study, we describe the properties of 6-(4-pyridyl)-5-(4methoxyphenyl)-3-carbomethoxy-1-methyl-1*H*-pyridin-2-one  $(\alpha 3IA)$ , which has  $\alpha 3$  subtype selective inverse agonism. Thus, α3IA possesses a degree of binding and inverse agonist efficacy selectivity for \alpha3-containing receptors such that its in vivo effects are presumably mediated primarily through this GABA<sub>A</sub> receptor subtype. In rats, this compound not only disrupted behaviour in the chain-pulling assay but was also anxiogenic in the elevated plus maze and produced changes in the dopamine metabolite 3,4-dihydroxyphenylacetic acid (DOPAC) in the medial prefrontal cortex consistent with a stress response. These data implicate α3-containing GABA<sub>A</sub> receptors in mediating at least part of the anxiogenic effects of nonselective inverse agonists and suggest that a compound with agonist efficacy at the  $\alpha$ 3 subtype may be anxiolytic.

#### Methods

All animal procedures were performed in accordance with the U.K. Animals (Scientific Procedures) Act, 1986.

Drugs

Ro 15-1788 (flumazenil) and bretazenil were gifts from Hoffman La Roche (Basel, Switzerland), whereas FG-7142, DMCM, chlordiazepoxide and flunitrazepam were all obtained from Sigma-Aldrich (Gillingham, U.K.). [³H]Ro 15-1788 (70–87 Ci mmol<sup>-1</sup>) and [³H]Ro 15-4513 (20–40 Ci mmol<sup>-1</sup>) were purchased from NEN (Perkin-Elmer Life Sciences, Boston, MA, U.S.A.). α3IA was synthesised in-house as described in detail elsewhere (Collins *et al.*, 2002).

In vitro binding

The affinity of α3IA for various human recombinant GABA<sub>A</sub> receptors ( $\beta$ 3,  $\gamma$ 2 plus either  $\alpha$ 1,  $\alpha$ 2,  $\alpha$ 3,  $\alpha$ 4,  $\alpha$ 5 or  $\alpha$ 6 subunits) was measured in mouse fibroblast L(tk<sup>-</sup>) cells as described in more detail elsewhere (Hadingham et al., 1993; 1996; Wafford et al., 1996). In summary, membrane preparations from cells expressing  $\alpha 1$ -,  $\alpha 2$ -,  $\alpha 3$ - or  $\alpha 5$ -containing GABA<sub>A</sub> receptors were incubated with 1.8 nm [3H]Ro 15-1788, whereas the radioligand used for  $\alpha$ 4- or  $\alpha$ 6-containing receptors was 8.0 nM [<sup>3</sup>H]Ro 15-4513. Nonspecific binding (NSB) was defined using  $10 \,\mu\text{M}$  (final concentration) flunitrazepam for the  $\alpha 1, \, \alpha 2, \, \alpha 3$ and  $\alpha 5$  subtypes and  $10\,\mu M$  Ro 15-4513 for the  $\alpha 4$  and  $\alpha 6$ subtypes. IC<sub>50</sub> values were calculated using XLfit (IDBS, Guildford, U.K.) and converted to K<sub>I</sub> values using the Cheng-Prussof equation (Cheng & Prussof, 1973) assuming respective affinities ( $K_D$  values) for [ ${}^{3}$ H]Ro 15-1788 of 0.92, 1.05, 0.58 and 0.45 nm at  $\alpha$ 1-,  $\alpha$ 2-,  $\alpha$ 3- or  $\alpha$ 5-containing receptors and 5.0 and 6.5 nM for [ $^{3}$ H]Ro 15-4513 at  $\alpha$ 4- and  $\alpha$ 6-containing receptors.

In vitro efficacy measurements

Efficacy was measured *versus* human GABA<sub>A</sub> receptors stably expressed in mouse fibroblast L(tk<sup>-</sup>) cells using whole-cell patch clamping essentially as described in more detail elsewhere (Brown *et al.*, 2002). Briefly, L(tk<sup>-</sup>) cells of the same type used for *in vitro* affinity measurements (Hadingham *et al.*,

1993; 1996; Wafford et al., 1996) were grown as a monolayer on glass microscope coverslips and continually perfused with artificial CSF on the stage of a Nikon Diaphot inverted microscope. Cell membrane patches with resistances of around  $5-10 \,\mathrm{M}\Omega$  were formed using patch pipettes with tips of around  $1.5-2.5 \,\mu \text{m}$  and cells were voltage clamped at  $-20 \,\text{mV}$  using an Axopatch 200B amplifier (Axon Instr., Foster City, CA, U.S.A.) with a triple-barrelled pipette system being used to apply drugs or wash solutions. The efficacy of α3IA (made up as a 10 mM stock in DMSO with a DMSO concentration in the assay of <0.1%) was measured by preapplying increasing concentrations of the drug for 30s, and then adding an approximate EC<sub>20</sub> concentration of GABA for 5 s. Data were analysed in GraphPad Prism (GraphPad Software Inc., San Diego, CA, U.S.A.) with curve fitting being performed using a nonlinear least-squares method for each individual cell. From these data, maximum efficacy and EC50 were determined for each cell (Brown et al., 2002).

# In vivo binding

Receptor occupancy of a3IA was measured in male Swiss Webster mice (25–30 g; B & K International, Hull, U.K.) or male Sprague–Dawley rats (250–275 g; B & K International) using in vivo binding of [3H]Ro 15-1788 as described earlier (Atack et al., 1999). Briefly, for doses of 10 and 30 mg kg<sup>-1</sup>, α3IA was suspended in vehicle (0.5% aqueous carboxymethylcellulose) at concentrations of 1 and 3 mg ml<sup>-1</sup> for mice or 10 and  $30 \,\mathrm{mg} \,\mathrm{ml}^{-1}$  for rats (dose volume = 10 and  $1 \,\mathrm{ml} \,\mathrm{kg}^{-1}$  for mice and rats, respectively; n = 5-6/group for mice and 7–8 for rats). In order to define the levels of NSB, a separate group of animals received a dose of bretazenil (5 mg kg<sup>-1</sup> i.p. in 100% PEG 300) sufficient to occupy all benzodiazepine binding sites. After 12 min, [3H]Ro 15-1788 (diluted 1:150 with saline) was injected via a tail vein  $(5 \mu l g^{-1})$  for mice,  $1 \mu l g^{-1}$  for rats), and 3 min later, animals were killed and the brain removed and the cerebellum was dissected free. The spinal column was removed and the spinal cord was blown out of the column using compressed air. The cerebellum and spinal cord (representing populations of primarily  $\alpha 1$ - and  $\alpha 2$ - plus  $\alpha 3$ -containing receptors, respectively; Atack et al., 1999) were weighed and homogenised in 10 volumes of ice-cold buffer (10 mM phosphate buffer/100 mM KCl, pH 7.4) and 200 µl aliquots were filtered and washed over Whatman GF/B glass fibre filters. Washed filters were then placed into scintillation vials and 10 ml scintillation fluid added. Radioactivity retained on the filters (= membrane-bound radioactivity) was counted and % occupancy of drug-treated samples was calculated as

% occupancy = 
$$100 - \left(\frac{(c.p.m._{sample} - c.p.m._{NSB})}{(c.p.m._{vehicle} - c.p.m._{NSB})} \times 100\right)$$

where c.p.m. $_{\text{vehicle}}$  and c.p.m. $_{\text{NSB}} =$  average counts in vehicle-and bretazenil-treated animals.

# Elevated plus maze

Male Sprague–Dawley rats (250–300 g; B & K International) were divided equally into four groups (n = 18/group), which received i.p. either vehicle (0.5% carboxymethylcellulose,  $1 \text{ ml kg}^{-1}$ ; pretreatment time = 15 min), 10 or 30 mg kg<sup>-1</sup>  $\alpha$ 3IA (15 min pretreatment) or FG 7142 (30 mg kg<sup>-1</sup>, 30 min

pretreatment). The shorter pretreatment time for  $\alpha 3IA$  (15 min) compared to FG 7142 (30 min) was chosen based on the rapid metabolism of  $\alpha 3IA$  (Collins *et al.*, 2002). Separate studies showed that there is no difference in plus maze performance for control rats dosed with vehicle either 15 or 30 min prior to the assay (data not shown).

After the appropriate pretreatment interval, rats were placed on the elevated plus maze for a 5 min trial (Dawson & Tricklebank, 1995). During this time, the behaviour of the rats was monitored by closed circuit TV and analysed using Flexible Maze Software (HVS Image, Hampton, Middlesex, U.K.), which calculated the time the rats spent in various parts of the maze.

In order to establish whether the effects observed with  $\alpha 3IA$  were mediated via the benzodiazepine binding site, a second experiment was performed in which rats were divided into four groups (n=12/group) and received either vehicle alone (0.5% carboxymethylcellulose; pretreatment time = 15 min), vehicle followed by an additional injection of the benzodiazepine antagonist Ro 15-1788 (flumazenil;  $10 \text{ mg kg}^{-1}$ ; pretreatment time = 15 min),  $30 \text{ mg kg}^{-1}$   $\alpha 3IA$  (15 min pretreatment) or  $30 \text{ mg kg}^{-1}$   $\alpha 3IA$  plus Ro 15-1788 (both 15 min pretreatments).

#### Rat response sensitivity test

The response sensitivity test is a rodent model of sedation and/or behavioural disruption in which food-deprived rats are trained to pull a chain for access to food pellets according to a random interval 30 s schedule (Bayley *et al.*, 1996). In this test, standard operant conditioning chambers were equipped with a chain suspended from the centre of the ceiling and connected to a micro switch. For this task, rats are maintained at 85% of their free feeding weight by postsessional feeding. Following simple initial training where one pellet is dispensed into a food magazine positioned at floor level after a single chain pull, rats are exposed to a progressively increasing, regulated probability interval schedule until the final average pellet–pellet interval was 60 s.

Male PVG rats (300–350 g; B & K International) were assigned to one of four groups, which received i.p. (dose volume = 1 ml kg<sup>-1</sup>) either vehicle (0.5% carboxymethylcellulose), 10 or 30 mg kg<sup>-1</sup>  $\alpha$ 31A or 30 mg kg<sup>-1</sup> FG 7142 (n = 11–12/group). Immediately after injection, rats were placed individually in operant boxes and the rate of chain pulling was recorded in 4 min intervals over a total test period of 32 min. Although PVG rats were used in this particular experiment, this assay works equally well in Sprague–Dawley rats.

### Neurochemical analyses

Male Sprague–Dawley rats (200–250 g; B&K International) were assigned to one of four groups. Thus, rats received injections (1 ml kg<sup>-1</sup> i.p.) of either vehicle (0.5% methyl cellulose, 15 min pretreatment), 30 mg kg<sup>-1</sup>  $\alpha$ 3IA (15 min pretreatment) or 15 mg kg<sup>-1</sup> FG 7142 (30 min pretreatment), the latter being a dose above the minimum effective anxiolytic dose of 10 mg kg<sup>-1</sup> FG 7142 (Cole *et al.*, 1995) and previously shown to have an effect on DA turnover (Deutch & Roth, 1990). In the fourth group of animals, stress was induced by taping animals to a wire grid for 30 min. Rats were killed, brains removed and the medial prefrontal cortex dissected on ice and rapidly frozen on dry ice and stored at  $-70^{\circ}$ C.

Subsequently, samples of the medial prefrontal cortex were analysed for the dopamine metabolite DOPAC using highperformance liquid chromatography (HPLC) with electrochemical detection as described in more detail elsewhere (Hutson et al., 1991; 2004). Samples of the medial prefrontal cortex were homogenised in 10 volumes 0.4 M perchloric acid containing 0.1% cysteine, 0.01% sodium ethylene diaminetetraacetic acid (NaEDTA) and 0.01% sodium metabisulphite. Homogenates were centrifuged at  $3000 \times g$  for  $10 \,\mathrm{min}$  and the supernatants were injected onto a  $3 \mu m$  Techsphere ODS column (4.6 mm × 7.5 cm; HPLC Technology Co. Ltd, Welwyn Garden City, U.K.) using a mobile phase of 0.07 M K<sub>2</sub>HPO<sub>4</sub>, 0.0035% NaEDTA, 0.023% octyl sodium sulphate and 12.5% methanol (pH 2.75), with a flow rate of 1 ml min<sup>-1</sup>. An Antec electrochemical detector (Presearch, Hitchin, U.K.) was used to detect DOPAC by using a working electrode potential of +0.65 V relative to the silver/silver chloride reference electrode.

# Results

#### In vitro properties of \alpha 3IA

Table 1 shows the affinity of  $\alpha 3IA$  (the structure of which is presented in Figure 1) compared to FG 7142 for the benzodiazepine binding site of human recombinant GABA<sub>A</sub> receptors containing different  $\alpha$  subunits. This compound has essentially no affinity for GABA<sub>A</sub> receptors containing either an  $\alpha 4$  or  $\alpha 6$  subunit but has modest affinity for subtypes containing either an  $\alpha 1$ ,  $\alpha 2$ ,  $\alpha 3$  or  $\alpha 5$  subunit. Of these latter subtypes,  $\alpha 3IA$  had modest binding selectivity for  $\alpha 3$ -containing receptors compared to  $\alpha 2$  or  $\alpha 5$  (four- to five-fold selectivity) and  $\alpha 1$  (12-fold)-containing receptors. In comparison, and like other  $\beta$ -carbolines, FG 7142 has a modest binding selectivity for the  $\alpha 1$  subtype, possessing between 3- and 20-fold higher affinity for the  $\alpha 1$  compared to the  $\alpha 2$ ,  $\alpha 3$  and  $\alpha 5$  subtypes.

In addition to a modest  $\alpha 3$  binding selectivity,  $\alpha 3IA$  also possesses greater inverse agonist efficacy for the  $\alpha 3$  compared to  $\alpha 1$ ,  $\alpha 2$  and  $\alpha 5$  subtypes (Figure 2). Hence, when measured in recombinant human GABA<sub>A</sub> receptors expressed in L(tk<sup>-</sup>) cells, the modulation of the GABA EC<sub>20</sub>-induced currents at the  $\alpha 3$  subtype (-45%) was consistent with appreciable inverse agonism at this subtype (Table 2). By comparison, the full inverse agonist DMCM produces an inverse agonist efficacy of -62% in this particular efficacy assay (Chambers *et al.*, 2003), whereas the partial inverse agonist FG 7142 has an efficacy of -37% (Table 2). On the other hand,  $\alpha 3IA$  modulation at the  $\alpha 1$ ,  $\alpha 2$  and  $\alpha 5$  subtypes (-31, -24 and -4%, respectively) was lower than seen with FG 7142 (-43, -34 and -30%), and is consistent with  $\alpha 3IA$  being either a

lower efficacy inverse agonist or an antagonist (Figure 2 and Table 2). Not only does  $\alpha 3IA$  have greater inverse agonism at the  $\alpha 3$  subtype compared to  $\alpha 1$ ,  $\alpha 2$  or  $\alpha 5$  but additionally the functional affinity for  $\alpha 3$  (EC<sub>50</sub> = 70 nM; Table 2) is greater than for the  $\alpha 1$  or  $\alpha 2$  subtypes (EC<sub>50</sub> values = 1300 and 185 nM, respectively). Similarly, the moderate  $\alpha 1$  binding selectivity of FG 7142 (Table 1) is reflected in a higher functional affinity at the  $\alpha 1$  subtype (EC<sub>50</sub> = 137 nM) relative to the  $\alpha 2$ ,  $\alpha 3$  and  $\alpha 5$  subtypes (EC<sub>50</sub> values ranging from 507 to 1439 nM; Table 2).

#### Occupancy of benzodiazepine binding sites by \alpha 3IA

The ability of  $\alpha 3IA$  to occupy mouse and rat cerebellum and spinal cord benzodiazepine binding sites *in vivo* is illustrated in Figure 3. In mice, at both 10 and  $30\,\mathrm{mg\,kg^{-1}}$  i.p., occupancy was not only dose-dependent but was also greater in the spinal cord  $(40\pm12$  and  $70\pm3\%$  at 10 and  $30\,\mathrm{mg\,kg^{-1}}$ , respectively) relative to the cerebellum  $(10\pm10$  and  $40\pm3\%)$  (Figure 3a). Based on these data, the dose of  $\alpha 3IA$  required to inhibit *in vivo* [ $^3H$ ]Ro 15-1788 by 50% (ID $_{50}$ ) was  $14\,\mathrm{mg\,kg^{-1}}$  in the spinal cord and the corresponding extrapolated value for the cerebellum was  $52\,\mathrm{mg\,kg^{-1}}$ . In rat, occupancy in both the cerebellum and spinal cord was lower dose-for-dose than that observed in mice, suggesting that the pharmacokinetics of  $\alpha 3IA$  differs between these two species. Nevertheless, there was

Figure 1 Structure of  $\alpha$ 3IA.

**Table 1** Affinity of FG 7142 and  $\alpha$ 3IA for human recombinant GABA<sub>A</sub> receptors containing different  $\alpha$  subunits stably expressed in mouse fibroblast L(tk<sup>-</sup>) cells

$K_i$ (nM), at $GABA_A$ receptors containing $\beta 3$ , $\gamma 2$ plus								
Compound	$\alpha I$	α2	α.3	α4	α.5	α6		
FG 7142 α3ΙΑ	$91 \pm 22$ $1029 \pm 118*$	$330 \pm 62$ $323 \pm 22*$	$492 \pm 105$ $82 \pm 12*$	N/A >10,000	$2150 \pm 725$ $410 \pm 134$	N/A >10,000		

N/A, not assayed. Values shown are mean  $\pm$  s.e.m. (n = 3-4 separate determinations). \*Data from Collins et al. (2002).

greater occupancy in rat spinal cord ( $15\pm11$  and  $35\pm8\%$  at 10 and  $30 \,\mathrm{mg \, kg^{-1}}$ , respectively) relative to rat cerebellum ( $5\pm7$  and  $12\pm8\%$ ) (Figure 3b).

## $\alpha 3IA$ is anxiogenic in the rat elevated plus maze

Figure 4 shows the effect of  $\alpha 3IA$  on the performance of rats in the elevated plus maze. In this task, a number of parameters are recorded during a 5 min total trial spent with the general principle being that in the novel, threatening (i.e. illuminated and elevated) environment, the less time spent on the open arms (and therefore more time spent on the closed arms) the greater the anxiety level of the animal. Using this paradigm, the nonselective inverse agonist FG 7142 produced a robust anxiogenic response, with the percent time on the open arms falling from  $21\pm 2$  to  $12\pm 2\%$ . Similarly,  $30 \, \text{mg kg}^{-1} \, \alpha 3IA$  produced a significant anxiogenic

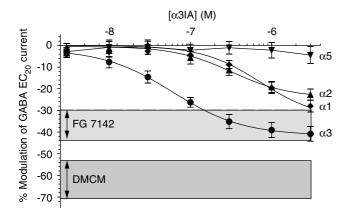
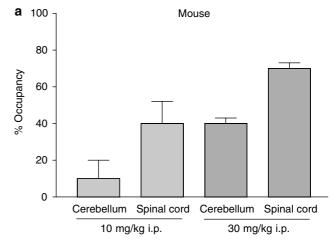


Figure 2 Efficacy of  $\alpha 3IA$  measured by whole-cell patch clamping in human GABA<sub>A</sub> receptors containing  $\beta 3$  and  $\gamma 2$  subunits plus either an  $\alpha 1, \alpha 2, \alpha 3$  or  $\alpha 5$  subunit stably transfected in L(tk<sup>-</sup>) mouse fibroblast cells. Efficacy was measured as the ability of the compound to modulate the current produced by a concentration of GABA corresponding to 20% of the maximal response (EC<sub>20</sub>), with negative values indicating a reduction in the GABA-induced current. Values shown are mean  $\pm$  s.e.m. of recordings from four to seven individual cells (n=6, 5, 7 and 4 for  $\alpha 1, \alpha 2, \alpha 3$  and  $\alpha 5$  cells, respectively). The shaded areas represent the range of inverse agonist efficacies across subtypes for the nonselective partial inverse agonist FG 7142 (range of efficacies = -30 to -43%) or the nonselective full inverse agonist DMCM (range of efficacies = -53 to -71%; Chambers *et al.*, 2003).

response, the extent of which (percent time on open arms =  $12\pm3\%$ ) was comparable to that seen with FG 7142. The decrease in time spent on the open arms corresponded to



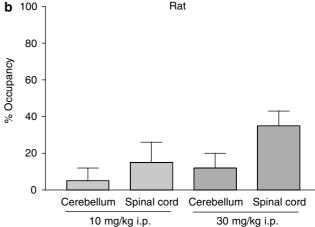


Figure 3 Occupancy of benzodiazepine binding sites by 10 or  $30 \,\mathrm{mg} \,\mathrm{kg}^{-1} \,\alpha 3\mathrm{IA}$  (i.p. in 0.5% methyl cellulose suspension, pretreatment time = 15 min) in the cerebellum and spinal cord, tissues enriched in GABA<sub>A</sub> receptors containing  $\alpha 1$  or  $\alpha 2/\alpha 3$  subunits, respectively, of (a) mice and (b) rats. In both species, both doses of  $\alpha 3\mathrm{IA}$  gave greater occupancy in the spinal cord relative to the cerebellum, indicating that the *in vitro* binding selectivity (affinity at  $\alpha 2$  and  $\alpha 3 > \alpha 1$ ) is reflected *in vivo*. Values shown are mean  $\pm s.e.m.$  (n = 5-6 and  $7-8/\mathrm{group}$  for mice and rats, respectively).

**Table 2** Efficacy of FG 7142 and  $\alpha$ 3IA for human recombinant GABA<sub>A</sub> receptors containing different  $\alpha$  subunits stably expressed in mouse fibroblast L(tk<sup>-</sup>) cells

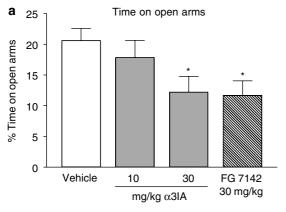
	Human recombinant $GABA_A$ receptors containing $\beta 3$ , $\gamma 2$ plus					
Compound	$\alpha I$	α.2	α3	α.5		
FG 7142 Max. % modulation <sup>a</sup> EC <sub>50</sub> (nM) <sup>b</sup>	-43±2 (5)	$-34\pm5$ (4) 507	$-37\pm3$ (6) 1021	$-30\pm 2$ (6) 1439		
$\alpha 3IA$ Max. % modulation <sup>a</sup> $EC_{50} (nM)^{b}$	$-31\pm4 (6)$ 1300	$-24\pm4 (5)$ 185	$-45\pm5\ (7)$	$-4\pm 4 \; (4) \ N/D$		

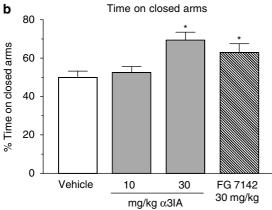
N/D, not determined.

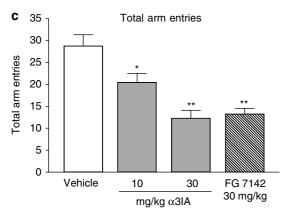
<sup>&</sup>lt;sup>a</sup>Mean  $\pm$  s.e.m. of modulation observed in each individual cell (figures within parentheses = n).

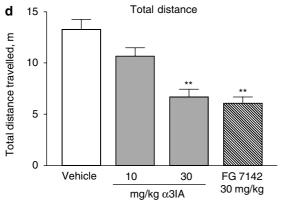
bCalculated from the curve fitted through the mean data.

an increase in time spent on the closed arms (Figure 4b) as well as a decrease in total arm entries (Figure 4c). In addition, both  $\alpha 3IA$  and FG 7142 produced significant decrements









in the total distance traveled during the 5 min plus maze trial (Figure 4d).

Verification that the anxiogenic effects of  $\alpha 3IA$  were mediated by the benzodiazepine binding site of the GABA<sub>A</sub> receptor was demonstrated in a second experiment in which the anxiogenesis produced by  $\alpha 3IA$  could be blocked by pretreatment of rats with the antagonist Ro 15-1788 (data only shown for % time on the open arms; Figure 5). That the effect of Ro 15-1788 was due to blockade of  $\alpha 3IA$  was confirmed by the observation that Ro 15-1788 alone did not affect behavioural performance, consistent with Ro 15-1788 being an antagonist *in vivo*.

## \alpha 3IA impairs performance in rat response sensitivity test

In Figure 6, the rate of responding (chain-pulling) in the response sensitivity test is shown for  $\alpha 3IA$  in comparison with vehicle and FG 7142. In vehicle-treated animals, the rate of responding was  $82\pm8\%$  of baseline (Figure 6a), primarily because during the 32 min total trial period performance drops off, presumably due to fatigue (Figure 6b). FG 7142 (30 mg kg<sup>-1</sup> i.p.) significantly impaired performance in this task, as did  $30\,\mathrm{mg\,kg^{-1}}$ , but not  $10\,\mathrm{mg\,kg^{-1}}$ ,  $\alpha 3IA$ . The effects of FG 7142 and  $30\,\mathrm{mg\,kg^{-1}}$   $\alpha 3IA$  were most noticeable at earlier points during the trial, with the effects of both compounds having essentially gone by the end of the trial.

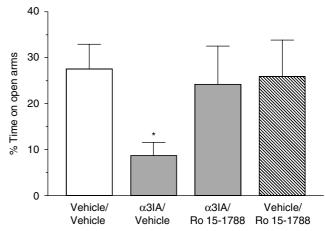
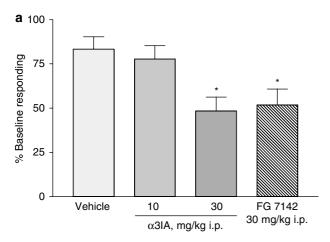
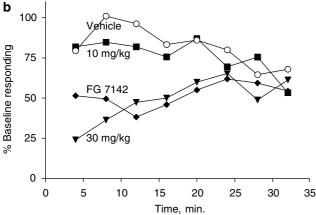


Figure 5 Significant anxiogenic response of  $\alpha$ 3IA (30 mg kg<sup>-1</sup>), shown here as a decrease in the time spent on the open arms, was blocked by the prototypic benzodiazepine antagonist Ro 15-1788 (flumazenil) (10 mg kg<sup>-1</sup> i.p.), the latter of which by itself had no effect on plus maze performance. Values shown are mean  $\pm$  s.e.m. (n = 12/group). \*P<0.05 relative to vehicle/vehicle group using an analysis of variance followed by Dunnett's *post hoc t*-tests.

**Figure 4** Effects of 10 or  $30 \,\mathrm{mg \, kg^{-1}} \,\alpha 31 \mathrm{A}$  or  $30 \,\mathrm{mg \, kg^{-1}} \,\mathrm{FG}$  7142 relative to rats treated with vehicle (0.5% carboxymethylcellulose) on various parameters measured during a 5 min trial on the elevated plus maze. Parameters included: (a) percent time on the open arms; (b) percent time on the closed arms; (c) total arm entries; and (d) total distance traveled. Compared to vehicle-treated rats, both  $30 \,\mathrm{mg \, kg^{-1}} \,\alpha 31 \mathrm{A}$  and FG 7142 produced significant effects on each of these parameters. Values shown are mean  $\pm \mathrm{s.e.m.} \, (n=18/\mathrm{group})$ . \* $P < 0.05 \,\mathrm{and} \, *P < 0.01 \,\mathrm{relative} \,\mathrm{to} \,\mathrm{vehicle} \,\mathrm{group} \,\mathrm{using} \,\mathrm{an} \,\mathrm{analysis}$  of variance followed by Dunnett's post hoc t-tests.





**Figure 6** (a) Average rate of chain-pulling during a 32 min response sensitivity trial expressed as a percentage of baseline responding prior to i.p. administration of either vehicle (0.5% methyl cellulose), 10 or  $30 \,\mathrm{mg}\,\mathrm{kg}^{-1}\,\alpha 3\mathrm{IA}$  or  $30 \,\mathrm{mg}\,\mathrm{kg}^{-1}\,\mathrm{FG}$  7142 immediately prior to commencing the trial. Values shown are mean  $\pm$  s.e.m. ( $n=11-12/\mathrm{group}$ ). (b) The same data presented in panel (a) broken down into 4 min time bins. Each data point represents the mean (error bars omitted for clarity;  $n=11-12/\mathrm{group}$ ). \*Significantly different from vehicle using an analysis of variance followed by Dunnett's *post hoc t*-tests.

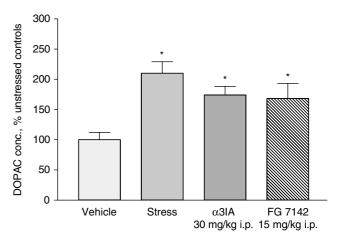
# α31A increases rat medial prefrontal cortex DOPAC concentrations

Following immobilisation stress, levels of the dopamine metabolite DOPAC were significantly elevated to  $210\pm19\%$  of control values in the rat medial prefrontal cortex (Figure 7). Administration of either  $\alpha 3IA$  ( $30\,\mathrm{mg\,kg^{-1}}$ ) or FG 7142 ( $15\,\mathrm{mg\,kg^{-1}}$ ) significantly increased DOPAC concentrations to  $174\pm14$  and  $168\pm25\%$  of control values, respectively.

# **Discussion**

In vivo effects of  $\alpha 3IA$  are due to inverse agonism at  $\alpha 3$ -containing  $GABA_A$  receptors

 $\alpha$ 3IA has a degree of  $\alpha$ 3 versus  $\alpha$ 1,  $\alpha$ 2 and  $\alpha$ 5 binding selectivity (respective  $K_i$  values = 82, 1029, 323 and 410 nM), which is reflected by the higher higher functional affinity (EC<sub>50</sub>) for the  $\alpha$ 3 subtype (70 nM at  $\alpha$ 3 versus 1300 and 185 nM at  $\alpha$ 1 and  $\alpha$ 2,



**Figure 7** Comparison of DOPAC concentrations in medial prefrontal cortex of rats receiving immobilisation stress or i.p. injections of vehicle (0.5% carboxymethylcellulose),  $30 \text{ mg kg}^{-1} \alpha 3IA$  (15 min pretreatment) or 15 mg kg<sup>-1</sup> FG 7142 (30 min pretreatment). Values shown are mean  $\pm$  s.e.m. (n = 9 - 11/group). \*Statistically different from vehicle using analysis of variance followed by *post hoc* Dunnett's *t*-test.

respectively). In addition to this binding selectivity,  $\alpha$ 3IA has greater inverse agonist efficacy at  $\alpha 3$ - (-45% at a GABA EC<sub>20</sub>) compared to  $\alpha 1$ -,  $\alpha 2$ - or  $\alpha 5$ -containing receptors (-31, -24 and -4%, respectively). It should be noted that in a previous study, a3IA was reported to have antagonist or very weak agonist efficacy at human α1-, α2- and α5-containing GABA<sub>A</sub> receptors transiently expressed human GABAA receptors in Xenopus oocytes (Collins et al., 2002), whereas in the present study, it behaves as a weak inverse agonist at the same receptor subtypes stably expressed in a mouse fibroblast cell line. Although these differences are probably in part related to the different expression systems used, data obtained from the stably expressed receptors are derived from concentrationresponse curves and are presumably therefore more reliable than the efficacies measured using a single drug concentration (Collins et al., 2002). It should be further emphasised that while differences in efficacy measured in *Xenopus* oocytes and L(tk<sup>-</sup>) cells do occur (Chambers et al., 2002; Mitchinson et al., 2004; Szekeres et al., 2004), these differences tend to be quantitative rather than qualitative insofar as it is the magnitude of the potentiation that differs rather than the type of modulation. (i.e. robust inverse agonists in one system do not become agonists in the other and vice versa). For example, DMCM has an  $\alpha$ 5 inverse agonist efficacy of -34% in Xenopus oocytes and -57% in L(tk<sup>-</sup>) cells (Chambers et al., 2002; Szekeres et al., 2004), and comparable differences in efficacy also occur for compounds with agonist efficacy (Mitchinson et al., 2004).

 $\alpha$ 3IA was CNS penetrant in mice and rats giving dose-dependent occupancy in both species and greater occupancy in spinal cord relative to the cerebellum, reflecting the preferential localisation of  $\alpha$ 2/ $\alpha$ 3-containing receptors in the spinal cord and  $\alpha$ 1-containing receptors in the cerebellum (Atack *et al.*, 1999), and indicating that the *in vitro* binding selectivity is reflected *in vivo*.

The extent of receptor occupancy is crucial to the interpretation of the *in vivo* effects. For example, despite the preponderance of  $\alpha$ 1-containing receptors in the rat brain (McKernan & Whiting, 1996; Sieghart & Sperk, 2002), the

assumption is that at relatively low levels of occupancy (less than 20%) and with a low inverse agonist efficacy, the *in vivo* effects of  $\alpha$ 3IA would not be mediated *via* the  $\alpha$ 1 subtype but that the much greater occupancy and efficacy of the less populous  $\alpha$ 3 receptors would be responsible for the *in vivo* effects. On the other hand, at doses that produce appreciable  $\alpha$ 1 occupancy (i.e. >50%), the relatively modest inverse agonist efficacy at a predominant receptor population might be expected to outweigh the greater inverse agonist efficacy at the less abundant  $\alpha$ 3-containing receptor population. Accordingly, in the present study, *in vivo* effects were examined at doses (10 and 30 mg kg<sup>-1</sup> i.p.) that gave comparatively low levels of receptor occupancy (Figure 3b).

# α31A and the nonselective inverse agonist FG 7142 have similar anxiogenic effects

FG 7142 has previously been reported to be anxiogenic not only in animals (Thiébot et~al., 1988) but also in man (Dorow et~al., 1983). More specifically, in the elevated plus maze, which is a widely used model of anxiety (Dawson & Tricklebank, 1995), the decreased percent time spent on the open arms and increased time spent on the closed arms observed in the present study is in agreement with previous reports (Pellow & File, 1986; Cole et~al., 1995; Dawson et~al., 1995).  $\alpha$ 3IA was also anxiogenic in the elevated plus maze, an effect attributable to the benzodiazepine site-mediated modulation of presumably  $\alpha$ 3-containing GABA<sub>A</sub> receptors since the benzodiazepine antagonist Ro 15-1788 (flumazenil) blocked this effect.

In the rat chain-pulling assay, both α3IA and FG 7142 caused an appreciable impairment in performance, which was time-dependent in that the greatest effects were seen 5-15 min after injection and by 30 min there was no longer a significant impairment, in agreement with pharmacokinetic data showing both compounds to have a high rate of clearance in the rat (data not shown). Although a reduced responding rate in this paradigm has been used to assess the level of sedation produced by benzodiazepine site agonists (Bayley et al., 1996), the impairments produced by α3IA and FG 7142 are unlikely to be due to sedation since FG 7142 increases, rather than decreases, attention (Sarter et al., 2001). Rather, the decreased performance presumably serves as a marker of a lack of well-being (Dawson et al., 1994). Consequently, the effects of α3IA and FG 7142 on reducing chain-pulling performance may well reflect an anxiogenic state that could manifest itself as a decrease in responding rate (Dawson et al., 1995).

GABA<sub>A</sub> receptors are implicated in the stress-induced increase in mesocortical dopamine efflux or dopamine metabolite levels, since these effects can be attenuated by benzodiazepine agonists (Fadda *et al.*, 1978; Feenstra *et al.*, 1995; Hutson & Barton, 1997). Moreover, FG 7142 and DMCM alone can mimic the stress-induced increase in medial prefrontal cortex dopamine turnover (Tam & Roth, 1985; Imperato *et al.*, 1991; Hutson & Barton, 1997). In the present study, we chose DOPAC as a marker of dopamine turnover since it has been shown to be a reliable marker of stress-induced activation of the dopamine system in the prefrontal cortex (Deutch & Roth, 1990). The fact that α3IA produces an increase in medial prefrontal cortex DOPAC concentrations comparable to FG 7142 implicates α3-containing GABA<sub>A</sub>

receptor subtype in the activation of medial prefrontal cortex dopamine systems, although the anatomical basis for this interaction is unclear (Hutson & Barton, 1997).

### Role of $\alpha 3$ -containing $GABA_A$ receptors in anxiety

Taken together, the data described above suggest that  $\alpha 3IA$  is anxiogenic using either behavioural (elevated plus maze or chain-pulling) or neurochemical (medial prefrontal cortex DOPAC concentration) measures, implicating  $\alpha 3$ -containing GABA<sub>A</sub> receptors in anxiety. Clearly,  $\alpha 3$ -containing GABA<sub>A</sub> receptors are not solely responsible for mediating the anxiogenic properties of benzodiazepines since evidence from transgenic mice indicate that the  $\alpha 2$  subtype is also involved in anxiety (Löw *et al.*, 2000). This discrepancy may, in part, be methodological (Crestani *et al.*, 2000b; Reynolds *et al.*, 2001) or could reflect the difference between a pharmacological *versus* molecular genetic approach. Further clarification of this issue would come from the use of  $\alpha 3IA$  in conjunction with  $\alpha 2$  and  $\alpha 3$  point mutated mice.

It is not possible to relate the anatomical distribution of α3-containing GABA<sub>A</sub> receptors to anxiety since, although the amygdala plays a key role in the association between explicit cues and conditioned fear (LeDoux, 2000; Davis & Whalen, 2001), the anatomical substrates of the presumably more diffuse cues associated with anxiety are less well understood. Nevertheless, the bed nucleus of the stria terminalis, which constitutes part of the extended amygdala, has been implicated in mediating the sustained responses to threat that are analogous to anxiety rather than fear (Walker et al., 2003). However, although the bed nucleus of the stria terminalis contains \alpha3-containing GABAA receptors (Pirker et al., 2000), the anatomical association between this receptor subtype and anxiety is tenuous since additional GABAA receptor subtypes are also expressed in this region (Pirker et al., 2000). Similarly, while the cortex undoubtedly plays a role in the processing of sensory information that forms the basis of anxiety and α3-containing GABA<sub>A</sub> receptors have a preferential cortical localisation (Pirker et al., 2000), other GABA<sub>A</sub> receptor subtypes are also highly expressed in the cortex (Pirker et al., 2000).

As regards the activation of dopaminergic transmission in the medial prefrontal cortex, FG 7142 also elevates DOPAC concentrations in the ventral tegmental area (VTA) but not the substantia nigra (Deutch & Roth, 1990), suggesting that activation of the VTA by FG 7142 and, by analogy,  $\alpha$ 3IA may precede activation within the medial prefrontal cortex (Kaneyuki *et al.*, 1991). However, it is not clear whether this is due to direct activation of  $\alpha$ 3-containing GABA<sub>A</sub> receptors within the VTA since, although the  $\alpha$ 3 subunit is expressed in the VTA, expression of this, and, indeed, other  $\alpha$  subunits are relatively low (Pirker *et al.*, 2000).

Recently, the  $\alpha$ 5 binding selective compound L-655708 has been reported to be anxiogenic on the rat elevated plus maze, implicating this GABA<sub>A</sub> receptor subtype in anxiety (Navarro et al., 2002). While this compound is an inverse agonist at the  $\alpha$ 5 subtype, it also possesses equivalent inverse agonism at the  $\alpha$ 1 subtype, and presumably, therefore, also the  $\alpha$ 2 and  $\alpha$ 3 subtypes (Casula et al., 2001). Accordingly, in the absence of receptor occupancy data, it is not clear to what extent the anxiogenic behaviour induced by L-655708 can be attributed to the  $\alpha$ 5 subtype or the more abundant  $\alpha$ 1,  $\alpha$ 2 and  $\alpha$ 3

subtypes. The possibility that the anxiogenic behaviour induced by L-655708 may not be due to the  $\alpha 5$  subtype is consistent with observations that there is no alteration in the anxiety levels of  $\alpha 5$  knockout mice (Collinson *et al.*, 2002), and that a compound with inverse agonist efficacy selective for the  $\alpha 5$  subtype is not anxiogenic (Sternfeld *et al.*, 2004).

As a corollary to the present study, it would be expected that an  $\alpha 3$  selective agonist would be anxiolytic. Currently, compounds have been described with selective *inverse agonist* efficacy for single GABA<sub>A</sub> receptor subtypes such as  $\alpha 3$ IA for the  $\alpha 3$  subtype or compounds which are selective for the  $\alpha 5$  subtype (Chambers *et al.*, 2002; 2003; Sternfeld *et al.*, 2004; Szekeres *et al.*, 2004). As regards subtype selective *agonists*, selective agonist efficacy for more than one GABA<sub>A</sub> receptor subtype can be achieved with compounds such as L-838417, which is an antagonist at  $\alpha 1$ - and a partial agonist at  $\alpha 2$ -,  $\alpha 3$ - and  $\alpha 5$ -containing receptors (McKernan *et al.*, 2000), but compounds with selective agonist efficacy for single subtypes have not been reported. More recently, a subtype-selective dihydroquinoline, 'Compound 4', has

been described, which potentiates the effects of GABA at  $\alpha 2$ - but not  $\alpha 1$ -containing GABA<sub>A</sub> receptors via a novel biding site distinct from the benzodiazepine, barbiturate, neurosteroid or loreclezole binding sites (Johnstone *et al.*, 2004). Consistent with L-838417, the lack of  $\alpha 1$  efficacy of this compound resulted in no sedation. On the other hand, Compound 4 was anxiolytic and while it would be tempting to ascribe this anxiolytic activity to the  $\alpha 2$  subtype, it should be noted that efficacy of this compound at the  $\alpha 3$  and other subtypes was not measured, and therefore the subtype selectivity of this compound remains uncertain (Johnstone *et al.*, 2004).

Clearly, the use of genetic and pharmacological approaches act as complementary strategies for defining the role of particular GABA<sub>A</sub> receptors in mediating the specific pharmacological effects of nonselective benzodiazepine sites ligands such as diazepam. This information should then form the basis for developing compounds that selectively target individual populations of GABA<sub>A</sub> receptors and that would be expected to have novel pharmacological profiles.

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